



## Mitochondrial dysfunction and oxidative stress in Parkinson's disease

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### ABSTRACT

Parkinson's disease (PD) is a movement disorder that is characterized by the progressive degeneration of dopaminergic neurons in substantia nigra pars compacta resulting in dopamine deficiency in the striatum. Although majority of the PD cases are sporadic several genetic mutations have also been linked to the disease thus providing new opportunities to study the pathology of the illness. Studies in humans and various animal models of PD reveal that mitochondrial dysfunction might be a defect that occurs early in PD pathogenesis and appears to be a widespread feature in both sporadic and monogenic forms of PD. The general mitochondrial abnormalities linked with the disease include mitochondrial electron transport chain impairment, alterations in mitochondrial morphology and dynamics, mitochondrial DNA mutations and anomaly in calcium homeostasis. Mitochondria are vital organelles with multiple functions and their dysfunction can lead to a decline in energy production, generation of reactive oxygen species and induction of stress-induced apoptosis. In this review, we give an outline of mitochondrial functions that are affected in the pathogenesis of sporadic and familial PD, and hence provide insights that might be valuable for focused future research to exploit possible mitochondrial targets for neuroprotective interventions in PD.

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## 1. Introduction

Parkinson's disease (PD) is the most common movement disorder and the second most prevalent neurodegenerative disorder worldwide, affecting ~2% of population over the age of 65. PD is pathologically characterized by: (i) the loss of dopaminergic neurons in substantia nigra pars compacta leading to decreased dopamine levels in the basal ganglia and (ii) the formation of Lewy bodies – intracytoplasmic inclusions containing fibrillar  $\alpha$ -synuclein (Spillantini et al., 1997). It is believed that dopamine loss causes dysregulation of the basal ganglia circuitries resulting in prominent clinical motor symptoms including bradykinesia, resting tremor, rigidity and postural instability. In addition to the motor symptoms, non-motor symptoms such as sleep disturbances, depression, cognitive deficits, and autonomic and sensory dysfunction are also well documented in PD (Perez and Palmiter, 2005; Choi et al., 2008; McDowell and Chesselet, 2012).

The etiology of PD has been the focus of research for several decades and considerable advances have been made in understanding the genetic and environmental factors, and the underlying molecular mechanisms of the disease. PD was considered to have no genetic links for nearly a century until a few decades ago, when large-scale linkage analysis studies on PD patients exposed mutations in several different genes. The mutations in the following genes are associated to familial PD:  $\alpha$ -synuclein (*PARK1/4*), parkin (*PARK2*), PINK1 (*PARK6*), DJ-1 (*PARK7*), LRRK2 (*PARK8*) and ATP13A2 (*PARK9*) whereas more rarely associated genes include *PARK3*, UCHL1 (*PARK5*), GIGYF2 (*PARK11*), HTRA2 (*PARK13*) and PLA2G6 (*PARK14*), which may represent risk factors rather than causative mutations (Schapira, 2011). Despite the recent advancements in PD genetics, the etiology of PD remains not completely understood. In fact a majority (~95%) of the PD cases is sporadic and are believed to result from complex interactions between genetic susceptibility and environmental factors. In spite of their differing etiology, sporadic and monogenic PD cases share common biochemical, pathological and clinical features. Mitochondrial dysfunction is one such feature observed in both forms of PD. Since mitochondrial complex I deficiency was first identified in PD brains by Schapira and co-workers in 1989 (Schapira et al., 1989), numerous studies have implicated mitochondrial dysfunction in PD pathogenesis. In this review, we summarize current knowledge on the role of mitochondrial dysfunction in the pathology of sporadic and monogenic PD.

## 2. Mitochondrial complex I deficiency and oxidative stress in sporadic PD

Mitochondria produce ATP (adenosine triphosphate) through the process of respiration and oxidative phosphorylation thereby acting as the primary source of energy in the cell. The process of oxidative phosphorylation involves coupling of both redox and phosphorylation reactions in the inner membrane of mitochondria resulting in effective ATP synthesis. During this process, electrons from NADH (nicotinamide adenine dinucleotide) or FADH<sub>2</sub> (flavin adenine dinucleotide) are transported through the electron transport chain (ETC), comprising of complexes I–IV, to create a proton gradient across the inner mitochondrial membrane. The consequent movement of the protons from the mitochondrial matrix to the intermembrane space creates an electrochemical gradient. This electrochemical gradient consists of a pH gradient ( $\Delta\text{pH}$ ) and an electrical gradient ( $\Delta\psi$ ) that drives the synthesis of ATP from ADP (adenosine diphosphate) through the enzyme ATP synthase (complex V).

Mounting evidence suggests that mitochondria are the primary source of reactive oxygen species that may contribute to

intracellular oxidative stress (Starkov, 2008; Murphy, 2009). In the process of oxidative phosphorylation, complex I (NADH-quinone oxidoreductase) acts as the entry point for electrons from the mitochondrial matrix into the ETC by catalyzing the electron transfer from NADH into the ETC subunits. Complex I and to a smaller extent complex III in the ETC are considered to be the main sites of ROS production in mitochondria. Superoxide radical is the primary ROS produced in mitochondria as a result of single electron transfer to oxygen in the respiratory chain. Superoxide dismutase 2 or MnSOD converts superoxide radical to hydrogen peroxide which is further detoxified by the enzyme catalase. However, in the presence of metal ions such as Fe<sup>2+</sup>, hydrogen peroxide may be converted into a highly reactive hydroxyl radical as a result of Fenton reaction which causes severe oxidative damage to the cellular components. The production of superoxide is believed to be dependent on factors such as the concentration of electron donors, localized oxygen concentration and the second order rate kinetics between them (Starkov, 2008; Murphy, 2009). In mitochondrial complex I, the following conditions lead to the production of superoxide radicals: (i) low ATP production, consequent high proton-motive force ( $\Delta\text{pH}$  and  $\Delta\psi$ ) and a reduced coenzyme Q pool; and (ii) high NADH/NAD<sup>+</sup> ratio in the mitochondrial matrix. On the other hand, mitochondria with normal ATP production, subsequent low proton-motive force and low NADH/NAD<sup>+</sup> ratio in the mitochondrial matrix produce much lesser superoxide radical (Murphy, 2009). In addition to the above conditions, ROS formation in complex I is also significantly increased during the reverse electron transport process. Reverse electron transport takes place when there is a reduction of ubiquinone pool that forces electrons uphill from ubiquinol into complex I under high proton-motive force conditions (Winklhofer and Haass, 2010).

Increased stress resulting from ROS production is one of the proposed mechanisms for the death of dopaminergic neurons in PD and mitochondrial complex I is considered to be one of the primary sources of ROS. Disease-specific reduction in mitochondrial complex I activity or protein level in the postmortem substantia nigra of patients with idiopathic PD has been known for a long time (Schapira et al., 1990; Hattori et al., 1991). A study using purified mitochondria also showed a mitochondrial complex I deficiency in the frontal cortex of PD patients (Parker et al., 2008). Mild deficits in complex I activity were also detected in the striatum (Mizuno et al., 1989), cortical brain tissue (Parker et al., 2008), fibroblasts (Mytilineou et al., 1994), blood platelets (Krieger et al., 1992; Haas et al., 1995) and rather variably, in skeletal muscle (Blin et al., 1994) and lymphocytes (Yoshino et al., 1992; Haas et al., 1995) of PD patients. Catalytic subunits of complex I were found to contain oxidized proteins and a correlation between increased protein oxidation and reduction in electron transfer capacity was observed in PD patients indicating that oxidative damage to these subunits may result in complex I impairment (Keeney et al., 2006). Interestingly, a recent study shows that the levels of oxidized coenzyme Q-10 and 8-hydroxy-2'-deoxyguanosine in cerebrospinal fluid of PD patients were significantly increased suggesting a role for mitochondrial oxidative damage and oxidative DNA damage in PD pathology (Isobe et al., 2010). In addition, a reduction in complex I activity was observed in cytoplasmic hybrid (cybrid) cell lines that contain mitochondrial DNA from sporadic PD patients (Swerdlow et al., 2001).

Interestingly, a mouse model with complex I deficiency that lacked the *Ndufs4* gene, one of the 49 subunits of complex I, appeared healthy until 5 weeks but developed progressive encephalomyopathy at 7 weeks of age leading to death. *Ndufs4* knockout mouse showed a reduction in complex I driven oxygen consumption and intact complex I protein levels suggesting that *Ndufs4* may facilitate the assembly or stabilization of complex I.

On the contrary, total oxygen consumption and ATP levels were at normal levels in these mice (Kruse et al., 2008). The lack of nigrostriatal degeneration in these mice could be due to the fact that the *Ndufs4* knockout mouse does not reflect the aging pathology of PD where there is a gradual loss of complex I activity over a long period of time. However, midbrain mesencephalic neuron cultures from *Ndufs4* knockout mice did not show loss of dopaminergic neurons despite the lack of complex I activity. Although dopaminergic neurons were more susceptible to rotenone, MPP $\pm$  or paraquat, their lack of complex I activity did not protect from these toxins suggesting that dopaminergic cell death induced by these toxins may not solely be due to their effect on complex I but also include other effects, for example on the microtubules (Choi et al., 2008). A recent study also reported that tissue-specific knockout of *Ndufs4* gene in mid-brain dopaminergic neurons showed no obvious neurodegeneration or loss of striatal innervations or symptoms of parkinsonism, although impairment in dopamine homeostasis and increase in dopamine metabolites were observed in these mice. *Ndufs4* dopaminergic neuron knockouts did not develop a loss of nigrostriatal neuron but not surprisingly, were more vulnerable to neurotoxicity induced by the mitochondrial complex I toxin MPTP (Sterky et al., 2012). These data suggest that complex I deficiency could contribute to the demise of dopaminergic neurons in the presence of other toxic factors.

Rotenone, a mitochondrial toxin, can induce the loss of dopaminergic neurons, and this toxicity was significantly attenuated by methylene blue, a compound that functions as an alternative electron carrier that bypasses complex I/III blockade emphasizing the role of complex I deficiency in rotenone-induced toxicity (Wen et al., 2011). It has been known that loss of dopamine homeostasis can affect mitochondrial function, and in line with this, another study shows that the redox modifications of dopamine can inhibit mitochondrial respiratory chain complexes. Oxidized dopamine and 3,4-dihydrophenylacetic acid (DOPAC) inhibited complex I and complex II activities in a dose-dependent manner whereas reduced dopamine but not DOPAC inhibited complex II activity. These findings reveal the possible downstream targets of dopamine metabolites that could potentially contribute to the susceptibility of dopaminergic neurons in PD (Gautam and Zeevalk, 2011). It is believed that substantia nigra is more vulnerable to complex I dysfunction compared to other brain regions due to the generation of ROS by the nigral dopaminergic neurons during dopamine metabolism (Chinta and Andersen, 2008). In addition, mouse substantia nigra dopaminergic neurons also showed decreased mitochondrial mass compared to the non-dopaminergic neurons and the neurons of the ventral tegmental area indicating that this deficit might contribute to the selective vulnerability of these neurons in PD mouse models (Liang et al., 2007). Altogether, these findings suggest that mitochondrial respiratory chain impairment, in particular complex I deficiency and the subsequent increase in ROS production may indirectly or directly contribute to the pathology of sporadic PD.

### 3. Mitochondrial DNA mutations

Mitochondrial DNA (mtDNA) is a double-stranded circular genome, 16.6 kb in size, and replicates separately from the cell cycle and nuclear DNA replication. MtDNA encodes for 13 proteins all of which are subunits of the mitochondrial respiratory chain complexes. It also codes for 22 tRNAs and 2 rRNAs that are vital for mitochondrial protein synthesis (Reeve et al., 2008). It appears that the close proximity of mtDNA to ROS generated by the respiratory chain dysfunction, and the lack of proficient DNA repair mechanisms and protective histones, makes the mtDNA vulnerable to mutations (Richter et al., 1988; Ozawa, 1997). As discussed in the

previous section, reduction in complex I activity increases ROS generation which may affect mtDNA which codes for 7 of the 45 subunits of complex I enzyme. Alteration of mitochondrial metabolism in cells with mtDNA from PD patients showed changes in the microtubular net and autophagic-lysosomal pathway (Cardoso et al., 2012). Although sequencing of the mitochondrial DNA from control and PD patients did not show any characteristic pathogenic mutation responsible for PD (Vives-Bauza et al., 2002), an age-dependent increase in mtDNA deletions associated with respiratory chain dysfunction has been observed in the dopaminergic neurons of substantia nigra (Bender et al., 2006b; Kraytsberg et al., 2006). In addition, neurons lacking complex IV (cytochrome c oxidase) showed high mtDNA deletions. These findings reveal that any significant changes to mtDNA composition might directly affect the mitochondrial respiratory chain activity and function. Moreover, somatic mtDNA deletions were slightly higher in substantia nigra of PD patients compared to age-matched controls. However, mtDNA deletions in aged-brains were not seen in other types of neurons such as pyramidal neurons of the cortex, hippocampal neurons or cerebellar Purkinje cells (Bender et al., 2006b; Kraytsberg et al., 2006).

These observations demonstrate that mtDNA deletions are specific to nigral neurons (Bender et al., 2006b) which may increase their susceptibility to oxidative stress and hence contribute to their selective loss in PD. This is supported by studies in MitoPark mice with a conditional knockout in dopaminergic neurons for mitochondrial transcription factor A (*Tfam*) gene, which regulates the transcription of mtDNA, showed reduced mtDNA expression and respiratory chain deficiency in midbrain dopaminergic neurons, which led to a progressive impairment of motor function accompanied by intraneural inclusions and loss of dopaminergic neurons (Ekstrand et al., 2007). In addition, MitoPark mice at the age of 6–7 weeks showed impaired dopamine uptake in striatum and lack of pacemaker activity in the nigral dopaminergic neurons suggesting that nigrostriatal dysfunction precedes parkinsonism-like behavioral deficits in these mice (Good et al., 2011).

MtDNA replication is also controlled by mtDNA polymerase gamma 1 (POLG1), an enzyme encoded by nuclear DNA. POLG1 is imported into the inner mitochondrial membrane of mitochondria and it is involved in mtDNA synthesis, replication and repair (Filosto et al., 2003). Mitochondrial dysfunction induced by downregulation of the catalytic subunit of mtDNA polymerase in *Drosophila* resulted in lower respiratory chain activity, premature aging, age-related motor deficits, progressive and cell type-specific neurodegeneration and was alleviated by bypassing respiratory chain deficiencies using alternative oxidase (Humphrey et al., 2012). Mutations in POLG1 lead to severe progressive multisystem disorder including parkinsonism (Luoma et al., 2004). Recently, POLG1 mutations were shown to have strong association with parkinsonism in a Swedish population, further supporting the involvement of mitochondrial dysfunction in PD pathology (Anvret et al., 2010). Taken together, these results indicate that mitochondrial impairment caused by mtDNA mutations and mutations of nuclear encoded mitochondrial proteins might be involved in PD pathogenesis.

### 4. Mitochondria and calcium homeostasis

Calcium is the principal modulator of mitochondria and endoplasmic reticulum (ER). Calcium readily enters the neurons through open pores like L-type Cav 1.3 calcium channels or by activation of *N*-methyl-D-aspartate (NMDA) receptors. Intracellular calcium levels are mainly regulated by calcium-binding proteins and by metabotropic glutamate receptors (mGluRs) via ER calcium stores. Calcium once inside the cell is transported

across the plasma membrane or sequestered in intracellular organelles such as mitochondria and ER. Calcium enters the matrix of mitochondria through (1) a calcium uniporter or (2) mitochondrial-associated membrane (MAM) pores that allow communication with ER and mitochondria directly. Calcium efflux from mitochondria takes place by several mechanisms including mitochondrial sodium/calcium exchanger (NCX) and high conductance ion channels like mitochondrial permeability transition pore (mPTP). mPTP has two conductance states (1) a low conductance state that is reversible and involved in calcium handling and (2) a high conductance state that is irreversible and leads to mitochondrial swelling and leakage of molecules like cytochrome c that triggers apoptosis.

Interestingly, recent work shows that substantia nigra pars compacta dopaminergic neurons are autonomously active and generate broad, slow action potentials regularly in the absence of synaptic input (Grace and Bunney, 1983). L-type Cav 1.3 calcium channels are used for this pacemaking property (Surmeier, 2007) which is believed to be essential for the maintenance of ambient dopamine concentrations in regions innervated by these neurons, in particular striatum (Romo and Schultz, 1990). While most other neurons depend exclusively on monovalent cation channels for pacemaking, substantia nigra pars compacta dopaminergic neurons also involve ion channels that allow extracellular calcium into the cytoplasm (Ping and Shepard, 1996; Bonci et al., 1998; Puopolo et al., 2007), causing increased intracellular calcium levels (Wilson and Callaway, 2000; Chan et al., 2007). It is reported that calcium entry through L-type channels increases dopamine metabolism in substantia nigra pars compacta dopaminergic neurons thereby shifting cytosolic dopamine concentration to a toxic range with L-DOPA loading (Mosharov et al., 2009). Recent work shows that maintained opening of L-type calcium channels in substantia nigra pars compacta dopaminergic neurons produces a basal mitochondrial oxidant stress accelerated aging and ultimate cell death (Surmeier et al., 2011). Altogether these studies suggest that calcium signaling might contribute to mitochondria oxidant stress in the pathogenesis of PD.

## 5. Environmental toxins, mitochondrial dysfunction and oxidative stress (summarized in Table 1)

### 5.1. MPTP

In the late 1970s, a group of heroin users in the USA developed acute and irreversible parkinsonism after using illicit drugs

intravenously. It was later discovered that this was due the neurotoxic effects of the compound MPTP (1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine), a by-product in the synthesis of a meperidine analog (Davis et al., 1979; Langston et al., 1983). One of these patients who died 2 years after the onset of parkinsonian symptoms showed degeneration of dopaminergic neurons in the substantia nigra pars compacta without Lewy bodies (Davis et al., 1979). Similar observations were noticed in patients who died 3–16 years after MPTP toxicity (Langston et al., 1999) confirming that MPTP exposure led to the development of parkinsonism. The remarkable resemblance of parkinsonian symptoms after MPTP intoxication to those observed in sporadic PD led to investigating the effects of this neurotoxin in various animal species. MPTP administration to non-human primates reproduced most but not all of the clinical and pathological symptoms (Moratalla et al., 1992; Forno et al., 1993; Hantraye et al., 1993; Varastet et al., 1994), and in mice at least degeneration of dopaminergic neurons was observed (Heikkila et al., 1984). However, rats were resistant and none of the symptoms could be reproduced (Chiueh et al., 1984). Concurrent to the neuropathological studies, the molecular mechanism of MPTP was also studied intensively. It was shown that MPTP actively crosses the blood–brain barrier due to its lipophilicity and is oxidized to a toxic molecule, MPP<sup>+</sup> (1-methyl-4-phenylpyridinium) by monoamine oxidase in the glial cells (Langston et al., 1984; Markey et al., 1984). MPP<sup>+</sup> is taken into the dopaminergic neurons by the dopamine transporter (DAT) and accumulates in the mitochondria. MPP<sup>+</sup> inhibits the mitochondrial complex I (Nicklas et al., 1985; Ramsay et al., 1986) in the ETC and thereby disrupts the flow of electrons resulting in decreased ATP production and increased generation of ROS (Hasegawa et al., 1990; Chan et al., 1991; Hantraye et al., 1996; Przedborski et al., 1996; Fabre et al., 1999; Pennathur et al., 1999). The events downstream of complex I inhibition leading to neuronal cell death are still incompletely understood. Nevertheless, various studies showed the involvement of pro-apoptotic pathways which include caspases, p53, Bcl-2 family members and JNK (Przedborski et al., 1992; Hartmann et al., 2000; Hartmann et al., 2001a; Hartmann et al., 2001b; Beal, 2003; Dauer and Przedborski, 2003; Hald and Lotharius, 2005; Perier et al., 2005; Perier et al., 2007). In addition to complex I inhibition, MPTP has also been reported to inhibit mitochondrial complexes III and IV *in vitro*, adding to the cellular oxidative stress (Desai et al., 1996). Moreover, MPP<sup>+</sup> treatment decreased mitochondrial activity and mitochondrial gene expressions *in vitro* and a similar reduction in expression of mitochondrial genes and tyrosine hydroxylase was observed after MPTP

**Table 1**  
Summary of mitochondrial dysfunction caused by environmental toxins used to model PD.

Toxin	Mitochondrial dysfunction	Reference
MPP <sup>+</sup> /MPTP	<ol style="list-style-type: none"> <li>1. Inhibits the mitochondrial complex I</li> <li>2. Decreases ATP production and increases generation of ROS</li> <li>3. Inhibits mitochondrial complexes III and IV</li> <li>4. Decreases mitochondrial activity and mitochondrial gene expression</li> <li>5. Alters mitochondrial proteins such as chaperones, metabolic enzymes, oxidative phosphorylation-related proteins, inner mitochondrial protein (mitofilin) and outer mitochondrial protein (VDAC1)</li> <li>6. Alters proteins associated with mitochondrial dysfunction, dopamine signaling, ubiquitin system, calcium signaling, oxidative stress response and apoptosis</li> <li>7. Causes DNA damage</li> </ol>	<ol style="list-style-type: none"> <li>1. (Nicklas et al., 1985; Ramsay et al., 1986)</li> <li>2. (Hasegawa et al., 1990; Chan et al., 1991; Hantraye et al., 1996; Przedborski et al., 1996; Fabre et al., 1999; Pennathur et al., 1999)</li> <li>3. (Desai et al., 1996)</li> <li>4. (Piao et al., 2012)</li> <li>5. (Burte et al., 2011)</li> <li>6. (Zhang et al., 2010)</li> <li>7. (Hoang et al., 2009)</li> </ol>
Rotenone	Reduces complex I activity	(Betarbet et al., 2000)
Paraquat	<ol style="list-style-type: none"> <li>1. Accumulates in mitochondria</li> <li>2. Acts as a potent redox cyclor which converts free radicals that interact with molecular oxygen to superoxide and other ROS</li> </ol>	<ol style="list-style-type: none"> <li>1. (Cocheme and Murphy, 2008)</li> <li>2. (Jones and Vale, 2000; Yumino et al., 2002)</li> </ol> (Thiruchelvam et al., 2005) (Mollace et al., 2003)
Maneb	Inhibits mitochondrial complex III	(Zhang et al., 2003)

administration *in vivo* (Piao et al., 2012). In cells exposed to sub-toxic concentrations of MPTP, alterations in mitochondrial proteins such as chaperones, metabolic enzymes, oxidative phosphorylation-related proteins, inner mitochondrial protein (mitofilin) and outer mitochondrial protein (VDAC1) were identified (Burte et al., 2011). Furthermore, another study looked at the overall proteome changes in different regions of mouse brain after MPTP administration and reported a total of 518 proteins showing alterations across all brain regions. Among them, 270 proteins exhibited specific changes only in the striatum and/or the brain region containing substantia nigra indicating that these proteins are associated with the nigrostriatal pathway. A majority of these altered proteins are associated with mitochondrial dysfunction, dopamine signaling, ubiquitin system, calcium signaling, oxidative stress response and apoptosis (Zhang et al., 2010). Evidence that MPTP causes DNA damage *in vivo* is compatible with these findings (Hoang et al., 2009). Collectively, these findings suggest mechanisms by which MPTP can cause mitochondrial impairment leading to neurotoxicity and loss of nigrostriatal dopaminergic neurons. Whether or not these mechanisms also contribute to the pathogenic process of PD remains a matter of debate. Indeed, a number of compounds have been shown to effectively protect dopaminergic neurons from MPTP toxicity *in vivo* and *in vitro*, yet none has yet been shown to be neuroprotective in PD patients in clinical studies (Muller et al., 2003; Anonymous, 2007).

### 5.2. Rotenone

Rotenone is a naturally occurring organic compound that has been used as a pesticide for several decades to control unwanted fish populations, in nurseries, and in organic farming. Rotenone is a specific mitochondrial complex I inhibitor which can act systemically due to its high lipophilicity enabling it to readily cross the blood–brain barrier and biological membranes independent of any receptor or transporter. Rotenone inhibits mitochondrial complex I by binding to the acceptor end of the enzyme causing an increase in the reduction state of the complex and thus leading to the leakage of electrons that combine with oxygen to form superoxide (Nicholls, 2008). Complex I inhibition by rotenone leads to initiation of several deleterious effects which include generation of ROS resulting in oxidative stress which is attenuated by antioxidants (Sherer et al., 2003), NMDA receptor-mediated bioenergetic crisis due to reduction in ATP levels and apoptotic or necrotic cell death due to depolarization caused by aberrant opening of the mPTP. Interestingly, recent reports have implicated rotenone in an increased risk of developing PD in humans (Tanner et al., 2011). In rats, chronic intravenous administration of rotenone caused selective nigral dopaminergic neuron loss and a significant reduction in complex I activity (Betarbet et al., 2000). In this study, the rotenone pathology closely resembled sporadic PD in that the neurodegeneration began in the nerve terminals of striatum and progressed to the cell bodies in the substantia nigra. Rotenone toxicity involved oxidative damage to proteins, showed PD-related motor deficits (Fleming et al., 2004) and Lewy body-like inclusions that immunostained for  $\alpha$ -synuclein and ubiquitin were observed in the substantia nigra and striatum (Betarbet et al., 2000; Sherer et al., 2003). However, the observations of these studies were limited due to the inconsistency in development of these deficits in rats after rotenone administration, and others confirmed a high rate of variability in rotenone toxicity in rats (Zhu et al., 2004). Altogether, these findings show that generation of ROS and related oxidative stress are one of the key mechanisms involved in rotenone-mediated toxicity and further implicate their role in nigrostriatal dopamine vulnerability.

### 5.3. Paraquat and Maneb

Paraquat (1,1'-dimethyl-4,4'-bipyridinium dichloride) is a commonly used herbicide that is structurally very similar to MPTP and has long been linked to human PD (Shults et al., 1998; Couzin, 2007; Tanner et al., 2011; Wu et al., 2012). Paraquat has a long half-life following systemic administration and causes lipid peroxidation in mouse brain (Prasad et al., 2007). Administration of paraquat caused selective and dose-dependant loss of dopaminergic neurons in the substantia nigra, associated with mild reduction in dopaminergic nerve terminals in the striatum and modest decrease in motor behavior (Brooks et al., 1999; McCormack et al., 2002). Chronic administration of paraquat showed progressive increase in dopaminergic neuron loss and reduction in dopamine levels (Ossowska et al., 2005). Though paraquat is a weak inhibitor of mitochondrial complex I and causes production of ROS, its toxicity on dopaminergic neurons is exerted by a mechanism that is independent of complex I inhibition and DAT (Richardson et al., 2005). Rather, paraquat accumulates in mitochondria (Cocheme and Murphy, 2008) and acts as a potent redox cyler which converts free radicals that interact with molecular oxygen to superoxide and other ROS (Jones and Vale, 2000; Yumino et al., 2002). The redox cycling of paraquat is further confirmed by studies showing that over-expression of SOD (Thiruchelvam et al., 2005) or compounds that mimic SOD (Mollace et al., 2003) show protection against the adverse effects of paraquat. Paraquat increases the generation of ROS and also reduces antioxidant enzyme expression *in vitro* (Yang and Tiffany-Castiglioni, 2005). On the other hand, a reduction in antioxidant enzyme levels leads to increased sensitivity to paraquat (Van Remmen et al., 2004). Another mechanism by which paraquat increases ROS generation in the mitochondria is through its interactions with glutamate which causes excitotoxicity through  $\text{Ca}^{2+}$  efflux by depolarization of NMDA receptor channels and activation of non-NMDA receptor channels. This process generates NOS (nitric oxide synthase) and the resulting nitric oxide enters the dopaminergic neurons leading to further mitochondrial dysfunction (Shimizu et al., 2003). Collectively, these studies suggest that paraquat can induce oxidative stress *in vitro* and *in vivo* by multiple mechanisms such as ROS generation by inhibition of mitochondrial complex I and redox cycling, and NOS production by interactions with glutamate in mitochondria.

Maneb is a manganese-containing ethylene-bis-dithiocarbamate compound that is used as a fungicide to treat numerous plant diseases. Maneb has been related to development of parkinsonism in humans (Meco et al., 1994) and to an increased risk of developing PD in individuals also exposed to paraquat (Costello et al., 2009; Ritz et al., 2009). Administration of maneb to mouse models induced deficits in motor behavior (Morato et al., 1989) but most studies used a combination of paraquat and maneb to induce nigrostriatal cell death (Thiruchelvam et al., 2002; Saint-Pierre et al., 2006; Kachroo et al., 2010). Literature on the mechanisms of Maneb toxicity is very limited however it is known that maneb increases the toxic effects of paraquat in mice (Barlow et al., 2005; Roede et al., 2011). Studies show that maneb inhibits proteasome activity *in vitro* (Zhou et al., 2004; Wang et al., 2006). In addition, maneb has also been shown to inhibit mitochondrial complex III of the ETC resulting in ROS production in isolated mitochondria of rat brain (Zhang et al., 2003) and impaired mitochondrial function in primary mesencephalic neuronal culture (Domico et al., 2006). These observations indicate that maneb could potentiate mitochondrial ROS production by causing mitochondrial dysfunction and inhibiting mitochondrial complex III. These effects may contribute to its ability to increase PD risk in humans (Ritz et al., 2009).

**Table 2**  
Summary of mitochondrial dysfunction in familial PD.

Gene	Mitochondrial dysfunction	Reference
$\alpha$ -Synuclein ( <i>PARK1</i> , <i>SNCA</i> ) (A53T or overexpression)	<ol style="list-style-type: none"> <li>1. Causes accumulation of <math>\alpha</math>-synuclein in mitochondria in specific regions</li> <li>2. Mutant A53T human <math>\alpha</math>-synuclein gene in mice causes mtDNA damage and respiratory complex IV impairment</li> <li>3. Overexpression of wild-type human <math>\alpha</math>-synuclein increases mitochondrial pathology in nigrostriatal dopaminergic neurons when exposed to low doses of MPTP</li> <li>4. Mutant A53T human <math>\alpha</math>-synuclein gene in cell lines reduces mitochondrial complex I activity</li> <li>5. Mutant A53T human <math>\alpha</math>-synuclein gene in mice increases sensitivity to mitochondrial toxins such as MPTP and paraquat</li> <li>6. Overexpression of mutant A53T or wild-type human <math>\alpha</math>-synuclein in cell lines causes mitochondrial association and leads to cytochrome c release, enhanced mitochondrial calcium and nitric oxide, and oxidative modification of mitochondrial components</li> <li>7. Mitochondria of PD patients show accumulation of <math>\alpha</math>-synuclein in substantia nigra and striatum, and decreased complex I activity</li> </ol>	<ol style="list-style-type: none"> <li>1. (Li et al., 2007; Nakamura et al., 2008; Shavali et al., 2008; Zhang et al., 2008)</li> <li>2. (Martin et al., 2006)</li> <li>3. (Song et al., 2004)</li> <li>4. (Butler et al., 2012)</li> <li>5. (Norris et al., 2007; Thomas and Beal, 2007)</li> <li>6. (Parihar et al., 2008)</li> <li>7. (Devi et al., 2008)</li> </ol>
Parkin ( <i>PARK2</i> )	<ol style="list-style-type: none"> <li>1. Localizes in mitochondria where it binds to mitochondrial transcription factor (TFAM) to regulate mitochondrial transcription and replication</li> <li>2. Overexpression prevents ceramide-induced mitochondrial swelling and cytochrome c release</li> <li>3. Knockout mice have reduced mitochondrial complex I and IV activity</li> <li>4. Homozygous parkin mutations in humans impair mitochondrial complex I and complex IV activities in leukocytes</li> <li>5. Mice lacking parkin gene increases sensitivity to mitochondrial toxin, rotenone</li> <li>6. Critical for mitochondrial dynamics and autophagy</li> <li>7. PINK1-parkin pathway promotes mitochondrial fission/fusion and controls mitochondrial dynamics</li> </ol>	<ol style="list-style-type: none"> <li>1. (Kuroda et al., 2006)</li> <li>2. (Darios et al., 2003)</li> <li>3. (Palacino et al., 2004)</li> <li>4. (Muftuoglu et al., 2004)</li> <li>5. (Rosen et al., 2006)</li> <li>6. (Narendra et al., 2008; Sun et al., 2012)</li> <li>7. (Clark et al., 2006; Deng et al., 2008; Poole et al., 2008; Yang et al., 2008; Park et al., 2009; Yu et al., 2011)</li> </ol>
PINK1 ( <i>PARK6</i> )	<ol style="list-style-type: none"> <li>1. Sub-localized in different regions including inner mitochondrial membrane, intermembrane space and outer mitochondrial membrane</li> <li>2. PINK1 knockout mice and human dopaminergic neurons have abnormalities in mitochondrial morphology, reduced membrane potential, increased ROS generation and high sensitivity to apoptosis</li> <li>3. PINK1 KO mice fibroblasts show low mitochondrial membrane potential, reduced cellular ATP levels and decline in mitochondrial respiratory activity</li> <li>4. PINK1-deficient mice showed reduction in complex I-IV activity</li> <li>5. PINK1 knockout mice show region-dependent alterations in mitochondrial proteins related to energy metabolism and membrane potential</li> <li>6. Lack of PINK1 in <i>Drosophila</i> results in abnormal mitochondrial morphology, loss of nigrostriatal dopaminergic neurons, apoptotic muscle degeneration and enhances vulnerability to oxidative stress</li> <li>7. Loss causes mitochondrial defects, respiratory chain abnormalities and ATP synthesis defects in human peripheral tissues</li> <li>8. Overexpression of PINK1 in restores normal mitochondrial morphology and inhibits ROS production</li> <li>9. Overexpression of wild-type PINK1 inhibits mitochondrial cytochrome c release and prevents neuronal apoptosis</li> <li>10. PINK1-parkin pathway promotes mitochondrial fission/fusion and controls mitochondrial dynamics</li> </ol>	<ol style="list-style-type: none"> <li>1. (Silvestri et al., 2005; Gandhi et al., 2006; Pridgeon et al., 2007)</li> <li>2. (Wood-Kaczmar et al., 2008)</li> <li>3. (Amo et al., 2011; Exner et al., 2007)</li> <li>4. (Gautier et al., 2008)</li> <li>5. (Diedrich et al., 2011)</li> <li>6. (Clark et al., 2006; Park et al., 2006; Yang et al., 2006)</li> <li>7. (Hoepken et al., 2007; Piccoli et al., 2008)</li> <li>8. (Wang et al., 2011)</li> <li>9. (Petit et al., 2005; Wang et al., 2007)</li> <li>10. (Clark et al., 2006; Deng et al., 2008; Poole et al., 2008; Yang et al., 2008; Park et al., 2009; Yu et al., 2011)</li> </ol>
DJ-1 ( <i>PARK7</i> )	<ol style="list-style-type: none"> <li>1. DJ-1 knockout mice display a reduction in mitochondrial transmembrane potential and an increase in mitochondrial permeability transition pore opening</li> <li>2. DJ-1 null dopaminergic neurons show deficiency in mitochondrial complex I activity</li> <li>3. DJ-1 mutation cause impaired mitochondrial respiration, enhanced intra-mitochondrial ROS, reduced mitochondrial membrane potential, altered mitochondrial morphology and importantly, accumulation of defective mitochondria</li> <li>4. DJ-1 may support mitochondrial function during oxidative stress by interacting with several targets such as PINK1 and parkin</li> <li>5. DJ-1 activates transcription of Mn-SOD gene, which encodes for the mitochondrial antioxidant enzyme</li> </ol>	<ol style="list-style-type: none"> <li>1. (Giaime et al., 2012)</li> <li>2. (Heo et al., 2012; Kwon et al., 2011)</li> <li>3. (Krebiehl et al., 2010)</li> <li>4. (Tang et al., 2006) (Moore et al., 2005)</li> <li>5. (Zhong and Xu, 2008)</li> </ol>
LRRK2 ( <i>PARK8</i> )	<ol style="list-style-type: none"> <li>1. LRRK2 binds to outer mitochondrial membrane</li> <li>2. LRRK2 G2019S mutation causes defects in mitochondrial morphology and dynamics</li> <li>3. PD patients carrying LRRK2 G2019S mutation show a decrease in mitochondrial membrane potential and low total intracellular ATP levels in addition to mitochondrial elongation and interconnectivity</li> </ol>	<ol style="list-style-type: none"> <li>1. (West et al., 2005; Biskup et al., 2006; Gloeckner et al., 2006)</li> <li>2. (Niu et al., 2012)</li> <li>3. (Mortiboys et al., 2010)</li> </ol>

## 6. Mitochondrial dysfunction and oxidative stress in monogenic PD (summarized in Table 2)

### 6.1. $\alpha$ -Synuclein

Three different missense mutations (A530T, A30P and E46K) and duplication or triplication of the  $\alpha$ -synuclein gene (*PARK1*, *SNCA*) are associated with autosomal dominant PD (Polymeropoulos et al., 1997; Kruger et al., 1998; Singleton et al., 2003; Zarranz et al., 2004).  $\alpha$ -Synuclein is a 140-amino acid presynaptic protein expressed in the central nervous system, which is prone to fibrillar aggregation due to its hydrophobic non-amyloid beta component domain. Fibrillar forms of  $\alpha$ -synuclein are a major component of the Lewy bodies, hence providing a link between sporadic and familial PD and implicating  $\alpha$ -synuclein in both forms of the disease. Indeed, this link is further supported by genome-wide association and epidemiological studies (Spillantini and Goedert, 2000; Edwards et al., 2010; Gatto et al., 2010; Huang et al., 2011; Ritz et al., 2012; Silva et al., 2013; Wang et al., 2012).  $\alpha$ -Synuclein fibrils are believed to play a pivotal role in PD pathogenesis due to their toxicity, which may be caused by the intermediate oligomeric forms of  $\alpha$ -synuclein rather than by their final aggregates (Lansbury and Lansbury, 2006; Uversky, 2007; Cookson and van der Brug, 2008; Winklhofer et al., 2008). Familial mutations and overexpression of  $\alpha$ -synuclein *in vitro* increase the formation of  $\alpha$ -synuclein protofibrils (Conway et al., 1998; Conway et al., 2000; Fredenburg et al., 2007). Administration of mitochondrial toxins to rodents and cell cultures leads to the formation of  $\alpha$ -synuclein aggregates and inclusions (Betarbet et al., 2000; Fornai et al., 2005). Similarly, inhibition of ubiquitin proteasomal pathway *in vitro* causes accumulation of unfolded proteins leading to mitochondrial dysfunction and neuronal cell death (Tanaka et al., 2001). The role of protein aggregation and mitochondrial dysfunction in PD pathogenesis has been well documented and recent studies show that these two processes are interlinked and complementary to each other in the pathogenesis. Interestingly, a study investigating mitochondrial  $\alpha$ -synuclein in human brain found significant accumulation of  $\alpha$ -synuclein in the substantia nigra and striatum of PD patients (Devi et al., 2008). A fraction of cytosolic  $\alpha$ -synuclein has been found within the mitochondria in specific regions (Li et al., 2007; Nakamura et al., 2008; Shavali et al., 2008; Zhang et al., 2008). In mice carrying a mutant A53T human  $\alpha$ -synuclein gene, mitochondrial accumulation of  $\alpha$ -synuclein was observed in tandem with mitochondrial degeneration associated with mtDNA damage and respiratory complex IV impairment leading to neurodegeneration (Martin et al., 2006). Our recent unpublished studies in Thy1-aSyn mice overexpressing wild-type  $\alpha$ -synuclein (Chesselet et al., 2012) showed accumulation of  $\alpha$ -synuclein in mitochondria enriched fractions of brain tissue from ventral mesencephalon consisting of substantia nigra, striatum and mid-cortex. In addition, reduced mitochondrial complex I activity and enhanced lipid peroxidation was observed specifically in substantia nigra tissue compared to striatum and mid-cortex. The same mice exhibit an increase in mitochondrial pathology in nigrostriatal dopaminergic neurons when exposed to low doses of MPTP (Song et al., 2004).

In another recent study decreased expression of mitochondrial chaperone protein tumor necrosis factor receptor associated protein-1 (TRAP1) in *Drosophila* with mutant A53T human  $\alpha$ -synuclein expression caused an increase in age-dependent loss of dopaminergic neurons and decrease in dopamine levels, loss of climbing ability and sensitivity to oxidative stress. Overexpression of human TRAP1 rescued this phenotype. Knockdown of TRAP1 in human neuronal cell lines enhanced A53T  $\alpha$ -synuclein-induced sensitivity to oxidative stress and, in HEK293 cells, A53T  $\alpha$ -synuclein expression reduced mitochondrial complex I activity

suggesting a link between  $\alpha$ -synuclein and mitochondrial dysfunction (Butler et al., 2012). In transgenic mice overexpressing A30P  $\alpha$ -synuclein more than two-fold, selective increase in carbonyl levels of the metabolic proteins carbonic anhydrase 2, alpha-enolase and lactate dehydrogenase 2 were observed (Poon et al., 2005). In addition, expression of A53T  $\alpha$ -synuclein in SH-SY5Y cell lines caused increased sensitivity to MPP<sup>+</sup> and 6-hydroxydopamine which was protected by antioxidants edaravone and (–)-epigallocatechin-3-O-gallate respectively (Ma et al., 2010). Similarly, in mouse models overexpressing human  $\alpha$ -synuclein the sensitivity of the mice to mitochondrial toxins such as MPTP and paraquat was enhanced (Norris et al., 2007; Thomas and Beal, 2007). On the other hand, mice lacking  $\alpha$ -synuclein were resistant to neurotoxicity induced by the toxins MPTP, malonate and 3-nitropropionic acid (Nicklas et al., 1985; Klivenyi et al., 2006; Elm, 2012).

Interaction of  $\alpha$ -synuclein with mitochondria *in vitro* and in isolated mitochondria leads to cytochrome c release, enhanced mitochondrial calcium and nitric oxide, and oxidative modification of mitochondrial components (Parihar et al., 2008). Another study shows that the N-terminal 32 amino acids of human  $\alpha$ -synuclein contain cryptic targeting signal that is essential for mitochondrial targeting of  $\alpha$ -synuclein. Mitochondria imported  $\alpha$ -synuclein is primarily associated with the inner membrane and the accumulation of wild-type  $\alpha$ -synuclein in dopaminergic neurons leads to a reduction in mitochondrial complex I activity and elevated ROS production. Moreover, overexpression of A53T  $\alpha$ -synuclein accelerated this effect (Devi et al., 2008). In addition, translocation of  $\alpha$ -synuclein to the surface of mitochondria was increased by cytosolic acidification. This translocation takes place rapidly under artificially induced low pH conditions and as a result of pH changes during the process of oxidative or metabolic stress, thus indicating a direct role for  $\alpha$ -synuclein in mitochondrial physiology, especially under pathological conditions (Cole et al., 2008). These findings suggest that protein aggregation and mitochondrial dysfunction act jointly with complementary mechanisms with  $\alpha$ -synuclein acting as a modulator of oxidative stress leading to neurodegeneration. Additionally, these results also highlight that both the genetic and biochemical alterations of  $\alpha$ -synuclein lead to its increased association with mitochondria and consecutively affect mitochondrial function in the dopaminergic neurons.

### 6.2. Parkin

Mutations in parkin (*PARK2*) gene were reported to cause autosomal-recessive juvenile parkinsonism (Kitada et al., 1998; Abbas et al., 1999). The parkin gene encodes a 465 amino acid protein which possesses E3 ubiquitin ligase activity and is involved in the proteasome-mediated degradation of numerous proteins *in vitro* (Shimura et al., 2000). Studies show that some of these proteins are deposited in the brains of patients with parkin mutations (Murakami et al., 2004; Periquet et al., 2005). Parkin mediates the polyubiquitination reaction that clears proteins susceptible to aggregation by the process of proteasomal degradation. The loss of E3 ligase activity causes accumulation of toxic protein aggregates leading to autosomal-recessive juvenile parkinsonism (Dawson, 2006). Additionally, mounting evidences show that parkin can also induce proteasome-independent ubiquitylation (Doss-Pepe et al., 2005; Lim et al., 2005; Fallon et al., 2006; Hampe et al., 2006; Henn et al., 2007) and such modifications are reported to mediate transcriptional regulation, protein trafficking and neuroprotective signaling (Fallon et al., 2006; Mukhopadhyay and Riezman, 2007). Several recent studies show that parkin is localized in the mitochondria and its functions are associated with mitochondria as well. In proliferating SH-SY5Y cells, parkin was found exclusively inside mitochondria where it

binds to mitochondrial transcription factor (TFAM) to regulate mitochondrial transcription and replication (Kuroda et al., 2006). Moreover, parkin overexpression in differentiated PC12 cell cultures prevented ceramide-induced mitochondrial swelling and cytochrome c release (Darios et al., 2003). Overexpression of parkin in mice attenuated dopaminergic cell loss induced by MPTP through protection of mitochondria and reduction of  $\alpha$ -synuclein (Bian et al., 2012). In contrast, knockdown of parkin gene in *Drosophila* and animal models exhibit drastic mitochondrial deficits. *Drosophila* lacking parkin gene exhibit mitochondria associated apoptotic muscle degeneration and high vulnerability to oxidative stress (Greene et al., 2003; Pesah et al., 2004). *Drosophila* deficient in parkin also showed reduced lifespan that was reversed by chelation of redox active metals, antioxidants and overexpression of superoxide dismutase 1 (Saini et al., 2010). Parkin knockout mice displayed decreased amounts of several proteins involved in mitochondrial function or oxidative stress in addition to an increase in protein oxidation and lipid peroxidation. These mice also exhibited reduction in respiratory complex I and IV subunits consistent with impairment of mitochondrial respiratory capacity in striatum suggesting mitochondrial dysfunction and oxidative damage (Palacino et al., 2004). In parallel, in humans with homozygous parkin mutations, mitochondrial complex I and complex IV activities in leukocytes are impaired (Muftuoglu et al., 2004). Moreover, mice lacking parkin gene show increased sensitivity to mitochondrial toxins such as rotenone (Rosen et al., 2006). Chronic exposure of rats to rotenone induced behavioral impairments that co-related with histopathological changes and tyrosine hydroxylase signaling, decrease in cytoprotective proteins including parkin, DJ-1 and Hsp70, and increase in caspase 9, caspase 3 and ubiquitin (Sonia Angeline et al., 2012). In addition, numerous studies have also established that parkin protects cells against several mitochondrial toxins in various cellular and animal models (Darios et al., 2003; Hyun et al., 2005; Casarejos et al., 2006; Rosen et al., 2006; Vercammen et al., 2006; Henn et al., 2007; Manfredsson et al., 2007; Paterna et al., 2007). A major finding was the demonstration that parkin is recruited to defective mitochondria and is critical for mitochondrial dynamics and autophagy (also see Section 5.3) (Narendra et al., 2008; Sun et al., 2012). Altogether these results indicate that parkin in addition to other functions such as proteasome-dependent and independent ubiquitylation is also vital for mitochondrial respiration and function. On the other hand, it is also reported that a parkin-deficient mice model on a B6;129S4 genetic background did not show robust signs of parkinsonism including nigrostriatal, cognitive or noradrenergic dysfunction questioning the credibility of the parkin null mice as a model for PD (Perez and Palmiter, 2005).

### 6.3. PINK1

PINK1 (PTEN-induced putative kinase; *PARK6*) gene mutations are the second most common cause of autosomal recessive, early onset PD after parkin mutations (Valente et al., 2004). PINK1 is a 581 amino acid protein with a highly conserved serine/threonine kinase domain and an N-terminal mitochondrial targeting sequence (Valente et al., 2004; Silvestri et al., 2005). In mitochondria, it has been reported that PINK1 is sub-localized in different regions including inner mitochondrial membrane (Silvestri et al., 2005; Gandhi et al., 2006; Pridgeon et al., 2007), intermembrane space (Silvestri et al., 2005; Pridgeon et al., 2007) and outer mitochondrial membrane (Gandhi et al., 2006). PINK1 knockdown in human dopaminergic neurons and loss of Pink 1 in primary neurons derived from PINK1 knockout mice result in widespread mitochondrial dysfunction including abnormalities in mitochondrial morphology, reduced membrane potential, increased ROS

generation and high sensitivity to apoptosis (Wood-Kaczmar et al., 2008). In substantia nigra dopaminergic neurons prepared from PINK1 KO mice brains, fragmented mitochondria were observed in addition to increased basal mitochondria superoxide and hydrogen peroxide-induced ROS generation. Overexpression of PINK1 restored normal mitochondrial morphology and inhibited ROS production indicating the importance of PINK1 in maintaining mitochondrial morphology and protecting neurons from ROS (Wang et al., 2011). In addition, embryonic fibroblasts derived from PINK1 KO mice showed low mitochondrial membrane potential, decreased cellular ATP levels and decline in mitochondrial respiratory activity (Amo et al., 2011). Primary fibroblast cell lines established from PD patients with PINK1 mutation showed a reduced capacity to effectively remove ROS due to decreased expression of antioxidant enzymes including glutathione peroxidase-1, MnSOD, peroxiredoxin-3 and thioredoxin-2 (Maj et al., 2010). PINK1 knockdown in human cell lines displayed defects in mitochondrial morphology and low mitochondrial membrane potential, and these deficits were reversed by wild-type PINK1 but not PD-linked PINK1 mutants (Exner et al., 2007). An increased sensitivity to MPP+ toxicity in cortical neurons was observed in the lack of PINK1 function and this effect was attenuated by overexpression of wild-type PINK1 (Haque et al., 2008). In *Drosophila*, studies show that lack of PINK1 resulted in abnormal mitochondrial morphology, loss of nigrostriatal dopaminergic neurons, apoptotic muscle degeneration and enhanced vulnerability to oxidative stress (Clark et al., 2006; Park et al., 2006; Yang et al., 2006). Studies on mice with PINK1 deficiency showed similar mitochondrial deficits including reduction in complex I-IV activity in the striatum and impairment in mitochondrial respiration. PINK1 loss of function also displayed increased exacerbation to mitochondrial respiration when exposed to oxidant hydrogen peroxide and mild heat shock (Gautier et al., 2008). In addition, these mice showed a decline in dopamine release and synaptic plasticity in striatum which is critical for normal physiological function (Kitada et al., 2007). In contrast, *in vitro* studies on different cell lines show that overexpression of wild-type PINK1 inhibited mitochondrial cytochrome c release and prevented neuronal apoptosis (Petit et al., 2005; Wang et al., 2007). In mouse midbrain dopaminergic neurons, overexpression of PINK1 using adenoviral vectors prevented against MPTP-induced neuronal toxicity (Haque et al., 2008). Moreover, it has been shown that dopamine-induced toxicity in PINK1 knockout mice is mediated by mPTP opening which is dependent on ROS production and calcium signaling. Dopamine-induced cell death could be prevented by blocking ROS production, supply of respiratory chain substrates and modification of calcium signaling (Gandhi et al., 2012). PINK1 knockout mice showed region-dependent alterations in mitochondrial proteins related to energy metabolism and membrane potential in midbrain, striatum and cerebral cortex. In particular midbrain region, containing the substantia nigra, showed high turnover of defective mitochondria, whereas striatum and cortex compensated for mitophagy non-function by alternative mechanisms. Cerebral cortex tissue also showed low levels of protein oxidation in both wild-type and PINK1 KO mice indicating low ROS production or better protective mechanism in this brain region (Diedrich et al., 2011). Interestingly, in humans with PINK1 mutation mitochondrial defects and respiratory chain abnormalities were observed in the peripheral tissues (Hoepken et al., 2007). In another study, a PD patient with a mutant PINK1 gene showed mitochondrial respiratory abnormalities and ATP synthesis defects in fibroblasts (Piccoli et al., 2008). Overall the observations show that PINK1 mutation affects mitochondrial function, integrity and associated increase in oxidative stress.

Mitochondria are dynamic organelles that are regulated by fusion and fission. These dynamic events are essential for cellular



functions such as energy metabolism, quality control mechanisms and regulation of cell death (Benard and Karbowski, 2009; Soubannier and McBride, 2009). Both mitochondrial fusion and fission contribute to mitochondrial quality control, and any dysfunction in mitochondrial repair will affect normal functions such as mtDNA maintenance (Hoppins and Nunnari, 2009), mitochondrial transport (Ishihara et al., 2009) and mitophagy (Twig et al., 2008). The mitochondrial fusion in outer mitochondrial membrane is regulated by mitofusins (MFN1 and MFN2) whereas optic atrophy protein 1 (OPA1) is required for inner mitochondrial membrane fusion (Zorzano et al., 2010). Mitochondrial fission is controlled by dynamin-related protein 1 (DRP1) (Reddy et al., 2011). Studies show that neurons are particularly vulnerable to mitochondrial fusion and fission (Knott and Bossy-Wetzel, 2008; Mattson et al., 2008; Chen and Chan, 2009; Schon and Przedborski, 2011). PINK1 promotes the recruitment of parkin into mitochondria which triggers mitophagy. This process depolarizes the inner mitochondrial membrane and alerts the cell of a damaged organelle, which will eventually be removed (Narendra et al., 2010). In *Drosophila*, parkin and PINK1 promote mitochondrial fission and/or inhibit fusion by negatively regulating MFN and OPA1 functions, and/or positively regulating DRP1 function (Clark et al., 2006; Deng et al., 2008). In rat hippocampal neurons, overexpression of parkin or PINK1 showed increased mitochondrial number, smaller size and reduced mitochondrial occupancy of neuronal processes indicating the mitochondrial fission/fusion dynamics is tipped toward fission. In converse, PINK1 inactivation resulted in elongated mitochondria suggesting the balance of the mitochondrial dynamics is tipped towards fusion. Moreover, overexpression of the fission protein (DRP1) or knockdown of the fusion protein OPA1 suppressed PINK1-RNAi induced mitochondrial morphological defect, and overexpression of Parkin or PINK1 suppressed elongation of mitochondria caused by DRP1 RNAi. Similarly, PINK1/Parkin influenced mitochondrial dynamics in rat midbrain dopaminergic neurons (Yu et al., 2011). More studies in *Drosophila* and mammalian cells also underline the role of PINK1-Parkin pathway in controlling mitochondrial dynamics (Poole et al., 2008; Yang et al., 2008; Park et al., 2009). Interestingly, some studies also indicate that mitochondrial fusion proteins play an important neuroprotective role in oxidative stress and mitochondrial stress models (Meurer et al., 2007; Gomez-Lazaro et al., 2008).

#### 6.4. DJ-1

Mutations in DJ-1 (*PARK7*) gene cause rare cases of autosomal recessive, early onset parkinsonism (Bonifati et al., 2003). DJ-1 is a multifunctional 189 amino acid protein with antioxidant and transcription modulation properties. It is believed that under basal conditions DJ-1 is localized in the cytosol and to a lesser extent in nucleus and mitochondria (Zhang et al., 2005). However, in oxidative stress conditions DJ-1 translocates to mitochondria, where it is found in the matrix and intermembrane space, and later to the nucleus. This translocation of DJ-1 into the mitochondria and nucleus is associated with its ability to provide neuroprotection (Canet-Aviles et al., 2004; Ashley et al., 2009; Junn et al., 2009). The translocation of DJ-1 is facilitated by the oxidation of cysteine 106 to cysteine-sulfinic acid which is vital for DJ-1 function in the mitochondria (Canet-Aviles et al., 2004; Blackinton et al., 2009). *In vitro* silencing of DJ-1 using siRNA in neuronal cells showed increased cell death induced by oxidative stress, ER stress and inhibition of proteasome (Yokota et al., 2003; Taira et al., 2004). Primary mouse embryonic fibroblasts from DJ-1 KO mice displayed a reduction in mitochondrial transmembrane potential and an increase in mPTP opening both of which were restored by antioxidant treatment. In addition, an increase in production of

ROS was observed in these cell lines but the antioxidant enzyme levels remained constant (Gaiame et al., 2012). DJ-1 null dopaminergic neurons showed deficiency in mitochondrial complex I activity as a result of defect in the assembly of complex I leading to defective supercomplex formation. These defects were reversed by DJ-1 overexpression indicating the specific role of DJ-1 in mitochondrial dysfunction (Heo et al., 2012). Another study also showed that DJ-1 null cells from mouse embryos exhibit reduced mitochondrial complex I activity that was rescued partially by overexpression of DJ-1 (Kwon et al., 2011). *In vitro* cell models from DJ-1 KO mice and human carriers of E64D mutation of the DJ-1 gene displayed impaired mitochondrial respiration, enhanced intra-mitochondrial ROS, reduced mitochondrial membrane potential, altered mitochondrial morphology and importantly, accumulation of defective mitochondria (Krebiehl et al., 2010). Additionally, cell lines, cultured neurons, mouse brain and lymphoblast cells derived from DJ-1-deficient patients display abnormal mitochondrial morphology. Mitochondria from DJ-1-deficient mice produce more ROS and scavengers of ROS rescued the phenotype indicating the critical role played by ROS in this model (Irrcher et al., 2010). Mice lacking DJ-1 gene showed nigrostriatal dopaminergic neuronal loss, elevated striatal dopamine levels, enhanced dopamine re-uptake and hypokinesia (Chen et al., 2005; Goldberg et al., 2005). In addition, mice deficient for DJ-1 showed further increased in dopaminergic neuron loss after MPTP administration (Kim et al., 2005). Similarly, administration of paraquat to DJ-1 knockout mice caused dopaminergic system defects and proteasome impairment (Yang et al., 2007). Conversely, overexpression of DJ-1 using viral vectors into the mice nigrostriatal system prevented MPTP-induced dopaminergic neuron loss. In addition, DJ-1 may support mitochondrial function during oxidative stress by interacting with several targets such as PINK1 (Tang et al., 2006) and parkin (Moore et al., 2005). Furthermore, under increased oxidative stress DJ-1 may also be involved in the activation of transcription of Mn-SOD gene, which encodes for the mitochondrial antioxidant enzyme (Zhong and Xu, 2008). Altogether, these findings suggest that DJ-1 may prevent protein misfolding and aggregation under oxidative stress conditions.

#### 6.5. LRRK2

LRRK2 (Leucine-rich repeat kinase 2; *PARK8*) mutations are known to cause autosomal-dominant PD. These mutations are associated with a majority of familial PD cases and are also linked to sporadic late-onset PD (Paisan-Ruiz et al., 2004; Zimprich et al., 2004; Klein and Schlossmacher, 2006). The LRRK2 gene encodes a 2527 amino acid protein which is a serine/threonine kinase containing a conserved mitogen-activated protein kinase kinase kinase (MAPKKK), a Roc domain with Ras/GTPase, a WD40-repeat domain and leucine-rich repeats (Mata et al., 2006; Biskup and West, 2009; Gandhi et al., 2009). Several LRRK2 mutations lead to an increase in LRRK2 kinase activity that was responsible for the neurotoxicity *in vitro*, suggesting a toxic gain of function mechanism (Gloeckner et al., 2006; Greggio et al., 2006; Smith et al., 2006). Overexpression of LRRK2 mutated proteins *in vitro* leads to apoptotic neuronal cell death that was blocked by caspase inhibitors and required Apaf1 indicating that LRRK2 mutations cause mitochondrial dysfunction (Iaccarino et al., 2007). In cortical neurons the LRRK2 G2019S mutation can cause defects in mitochondrial morphology and dynamics. Expression of LRRK2 also increases production of ROS in cells. In addition, endogenous LRRK2 interacts with Dynamin like protein 1 (DLP1) in neurons and expression of LRRK2 leads to translocation of DLP1 from the cytosol into the mitochondria suggesting a functional role for LRRK2 (Niu et al., 2012). Skin biopsies from PD patients carrying LRRK2 G2019S

mutation showed a decrease in mitochondrial membrane potential and low total intracellular ATP levels as a result of mitochondrial dysfunction. Additionally, mitochondrial elongation and interconnectivity were also elevated in LRRK2 G2019S mutant patients indicating that LRRK2 mutations affect mitochondrial function and morphology (Mortiboys et al., 2010). LRRK2 was shown to bind to outer mitochondrial membrane, localized in rat mitochondria by immunohistochemistry and 10% of overexpressed LRRK2 was found to be associated with the mitochondrial fraction (West et al., 2005; Biskup et al., 2006; Gloeckner et al., 2006). Although knowledge about the physiological function of LRRK2 protein is limited, these studies indicate that the mechanism of LRRK2 mutation toxicity could be associated with mitochondria.

## 7. Perspective

Numerous studies conducted using various genetic and toxin models of PD have contributed to a better understanding of the disease pathogenesis. As detailed in this review, many of these studies point to mitochondrial dysfunction as a common occurrence in both sporadic and monogenic PD, and suggest that it may play a major role in the pathophysiology of the disease. The findings suggest that complex I deficiency and related oxidative stress in addition to mitochondrial DNA mutations are associated with PD pathogenesis. The factors that potentially cause mitochondrial damage in sporadic PD are still unknown. Exposure to environmental factors such as pesticides may cause mitochondrial dysfunction that result in PD in both humans and animal models of PD. In addition, familial mutations in genes can also lead to mitochondrial dysfunction that leads to PD. In PD patients and animal models, the general biochemical abnormalities that are observed in mitochondria include complex I impairment, reduced ATP synthesis, increased ROS production, mitochondrial DNA mutations and defective mitochondrial repair pathways. However, the sequence of events leading to the mitochondrial impairment and degeneration of neurons remains elusive. The studies also indicate that dopaminergic neurons in the substantia nigra are highly vulnerable under stress conditions compared to other neuronal types. High oxidative burden during dopamine metabolism, excitotoxicity, high iron content (Chinta and Andersen, 2008) and low mitochondrial mass (Liang et al., 2007) in substantia nigra dopaminergic neurons compared to other neurons might contribute to its selective vulnerability in stress conditions. In addition, high concentrations of intracellular  $Ca^{2+}$  are also observed in substantia nigra dopaminergic neurons (Wilson and Callaway, 2000; Chan et al., 2007) that contribute to a basal mitochondrial oxidant stress which was specific in substantia nigra dopaminergic neurons and not in neighboring ventral tegmental area nigra dopaminergic neurons (Guzman et al., 2010). These observations in substantia nigra dopaminergic neurons underline the importance of mitochondrial functions, which are vital for the survival of these neurons, particularly during aging. In view of the vital functions of mitochondria including energy metabolism, calcium homeostasis, cellular quality control and death regulation, it is important to consider mitochondrial dysfunction as one of the major factors that can contribute to dopaminergic neuronal cell death in PD. Given that mitochondrial dysfunction is widespread in both sporadic and monogenic PD, and the current knowledge on the mechanistic aspects of mitochondrial impairment, mitochondria remain attractive targets for the development of neuroprotective strategies for PD. However, clinical trials using compounds targeting mitochondria such as coenzyme Q10 (CoQ10) (Shults et al., 1998; Muller et al., 2003; Anonymous, 2007; Storch et al., 2007), an essential cofactor in mitochondrial ETC, and creatine (Bender et al., 2006a), a naturally occurring compound that boosts ATP levels and protects mitochondria have so far showed mild

symptomatic or no significant beneficial effect in PD patients. However, reaching sufficient brain concentrations of Co-Q is notoriously difficult. Rather than arguing against the potential of mitochondria-targeted therapies, these results point to the need for developing more effective and specific agents that achieve high brain levels, reduce oxidative stress at its source rather than by quenching reactive oxidative species, and/or improve the removal of defective mitochondria. Nevertheless, it is important to remember that PD is a multifaceted disease and mitochondrial dysfunction might be a part of the complex pathology of the disease. Future neuroprotective therapies will likely need to target multiple pathological pathways including mitochondrial dysfunction.

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